

BMS CAPITAL ADVISORY, INC.

BUSINESS CONTINUITY DISCLOSURE STATEMENT

[The Following Business Continuity Disclosure Statement is recreated in its entirety, and available to external parties via the BMS Capital Advisory website.]

BMS Capital Advisory, Inc., has a Business Continuity Plan in place to help ensure safety, continuity of service, and support for our clients and associates. The Business Continuity Plan includes business impact analysis, emergency response planning, business continuity planning, and periodic plan maintenance.

Information for associates, vendors, and critical business partners is updated periodically. The Business Continuity Plan may be invoked in whole or in part, depending on the severity of the incident and the functional areas affected. It is our firm's intention to continue business during any foreseeable disruptive event. Employees of BMS CA have the ability to access work files securely and remotely. Where a significant business disruption specifically impacts our technology vendors, firm employees may reach out to the compliance department for access to any physically maintained books and records. In a disruption to only our firm or a building housing our firm, we will transfer our operations to a local site when needed and expect to recover and resume business within a matter of days. In a disruption affecting our business district, city, or region, we will transfer our operations to a site outside of the affected area, and recover and resume business within a matter of weeks.

The Business Continuity Plan is audited periodically to ensure adequacy, and is reviewed by executive senior management on an annual basis. The Chief Compliance Officer of BMS CA is charged with updating the plan, when necessary, in the event of any material change to the firm's operations, technology, structure, business or location.

Contacting Us – For more detailed information about the BMS CA Business Continuity Plan, please contact our firm's Chief Compliance Officer, Romulo Braga, at Romulo.Braga@bmscapadvisory.com. If after a significant business disruption you cannot contact us through our main line [+1 (646) 778-4840], you should call our alternative toll-free number [+1 (877) 536-4856].